



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Philip Marriott  
Serial No. : 09/787,358  
Filed : May 15, 2001  
Title : MEANS FOR REMOVING UNWANTED IONS FROM AN ION TRANSPORT  
SYSTEM AND MASS SPECTROMETER

Art Unit : 2881  
Examiner : Anthony Quash

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Enclosed please find the following documents:

1. Request for Continued Exam (RCE) – one (1) page;
2. Response – eleven (11) pages
3. Petition for Extension of Time – one (1) page;
4. Request for Corrected Official Filing Receipt – six (6) pages;
5. Check totaling: \$878.00 which includes the following fees:
  - a. \$750 RCE fee
  - b. \$18 excess claim fee
  - c. \$110 one-month Petition for Extension of Time fee
6. Postcard.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

3/18/03

Timothy A. Porter  
Reg. No. 41,258

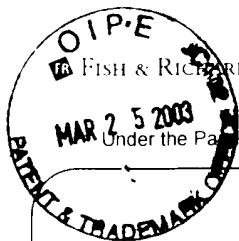
Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071  
50135654.doc

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

MAR 18, 2003  
Date of Deposit

Sally Zumba



FISH &amp; RICHARDSON P.C.

PTO/SB/30 (5/2000)

Approved for use through xx/xx/xxxx. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## REQUEST FOR CONTINUED EXAMINATION (RCE)

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

|                        |                 |
|------------------------|-----------------|
| Application Number     | 09/787,358      |
| Filing Date            | May 15, 2001    |
| First Named Inventor   | Philip Marriott |
| Group Art Unit         | 2881            |
| Examiner Name          | Anthony Quash   |
| Attorney Docket Number | 14544-002001    |

### This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

**NOTE:** 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

#### 1. Submission required under 37 C.F.R. §1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

#### 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. §1.17(i) required)
- b. ☐ Other \_\_\_\_\_

#### 3. Fee The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1050
- i. ☒ RCE fee required under 37 C.F.R. §1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§1.136 and 1.17)
- iii. ☒ Other Any deficiencies
- b. ☒ Check in the amount of \$ 878 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

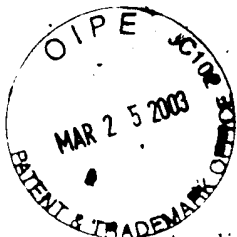
### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

|                   |                   |                                   |         |
|-------------------|-------------------|-----------------------------------|---------|
| Name (Print/Type) | Timothy A. Porter | Registration No. (Attorney/Agent) | 41,258  |
| Signature         |                   | Date                              | 3/18/03 |

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to **Commissioner for Patents, Box RCE, Washington, DC 20231** or facsimile transmitted to the U.S. Patent and Trademark Office on: March 18, 2003

|                   |             |      |                |
|-------------------|-------------|------|----------------|
| Name (Print/Type) | Sally Zumba | Date | March 18, 2003 |
| Signature         |             |      |                |



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Washington, D.C. 20231

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

On February 20, 2003, the applicant filed a Power of Attorney by Assignee and Election of Assignee to Conduct Prosecution to Exclusion of Inventors appointing the law firm of Fish & Richardson P.C. to prosecute this application (copy enclosed).

Please correct the Filing Receipt for the above-referenced application to indicate counsel of record's attorney docket number. The attorney docket number should be: -- **14544-002001** --

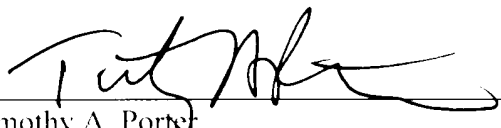
Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

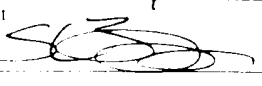
03/18/03

  
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Sally Zumba



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/787,358         | 05/15/2001  | 2881         | 1260          | Q63472          | 2        | 12         | 1          |

CONFIRMATION NO. 7859

## FILING RECEIPT



\*OC000000006130857\*

Sughrue Mion Zinn Macpeak & Seas  
2100 Pennsylvania Avenue NW  
Washington, DC 20037-3202

Date Mailed: 05/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Philip Marriott, Macclesfield, UNITED KINGDOM;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/GB99/03076 09/16/1999

## Foreign Applications

UNITED KINGDOM 9820210.4 09/16/1998

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

Means for removing unwanted ions from an ion transport system and mass spectrometer

## Preliminary Class

250